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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/182,933      10/30/98      REITMEIER      G      SAR13070

LM02/0614  
THOMASON, MOSER AND PATTERSON, LLP  
2-40 BRIDGE AVENUE  
P.O. BOX 8160  
RED BANK NJ 07701-5300

EXAMINER

MEISLAHN, D

ART UNIT

PAPER NUMBER

2767

DATE MAILED:

06/14/00

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.  
**09/182,933**

Applicant(s)  
**Reitmeier et al.**

Examiner  
**Douglas Meislahn**

Group Art Unit  
**2767**



☐ Responsive to communication(s) filed on \_\_\_\_\_

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle*, 35 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

## Disposition of Claim

- ☒ Claim(s) 1-29 is/are pending in the application.
- Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- ☒ Claim(s) 1-29 is/are rejected.
- ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- ☐ Claims \_\_\_\_\_ are subject to restriction or election requirement.

## Application Papers

- ☒ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.
- ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- ☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.
- ☐ The specification is objected to by the Examiner.
- ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

- ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- ☐ All ☐ Some\* ☒ None of the CERTIFIED copies of the priority documents have been
- ☐ received.
- ☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_
- ☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

- ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

- ☒ Notice of References Cited, PTO-892
- ☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 4
- ☐ Interview Summary, PTO-413
- ☒ Notice of Draftsperson's Patent Drawing Review, PTO-948
- ☐ Notice of Informal Patent Application, PTO-152

— SEE OFFICE ACTION ON THE FOLLOWING PAGES —

## **DETAILED ACTION**

### ***Specification***

1. The disclosure is objected to because of the following informalities: lines 25 and 28 of page 14 and line 9 of page 16 contain what appear to be extra letters; the word "is" in line 26 of page 16 is unnecessary; the word "a" is needed before "collection" in the description of figure 2. Appropriate correction is required.

### ***Double Patenting***

2. Applicant is advised that should claims 15-18 be found allowable, claim 19-22 will be objected to under 37 CFR 1.75 as being a substantial duplicate thereof. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

### ***Claim Objections***

3. Claim 13 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Applicant's listing of combinations of algorithms and keys covers all possible combinations, and thus does not further limit the parent claim.

4. Claim 11 is objected to because of the following informalities: should the last two words be image frames? The claim had just been talking about audio information.

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6. Claims 9 and 14 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The examiner found no reference to either "a non-predicted information frame" or "a utilization level of a decoder buffer".

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

8. Claims 6-9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

9. Claim 6 recites the limitation "said second distribution channel" in the second line of the claim. There is insufficient antecedent basis for this limitation in the claim. The examiner would assume that this was referring to the second medium, but the second medium was for the encrypted index – not the encrypted, re-sequenced data. Therefore this claim has not been examined.

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10. The term "approximately" in claim 7 is a relative term which renders the claim indefinite. The term "approximately" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

11. Claims 8 and 9 recite the limitation "said information segment" in lines 17 and 20, respectively, of page 19. There is insufficient antecedent basis for this limitation in the claim. The examiner has treated the claims as though the word "stream" was inserted between the last two words.

***Claim Rejections - 35 USC § 103***

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 1, 2, 10-13, 15, 18, 19, and 23-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. (5014310) in view of Inoue (5195134).

Walker et al. display, in lines 24-43 of column 3, a method of scrambling video data that consists of rearranging pieces of video data using an encryption keystream as a guide. The keystream corresponds roughly to applicant's index. From line 54 of column 7 through line 8 of column 8, they teach a way to prepare audio for transmission that includes compression and scrambling according to the encryption keystreams. These compressed audio samples are scrambled in the same fashion as the video. The control data precisely corresponds to applicant's index. There is no teaching of

encrypting the scrambled data stream. Encrypting data that has already been scrambled, although perhaps not a ubiquitous practice, is known in the art of data transmission, as evidenced by lines 18-22 of column 3 in Inoue; the encryption has the obvious advantage of providing increased security to the data. Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to encrypt the data of Walker et al. as taught by Inoue. This would increase security.

An apparatus to produce this encrypted, scrambled, compressed data stream is inherent. A method to recover the data is anticipated as well.

With respect to claim 2, Inoue has taught encryption of the entire signal, and Walker et al. have discussed putting the control data with the rest of the signal. Therefore the control data would also be encrypted. Inoue talks about subscribers in line 26 of column 3, thereby meeting the limitations of 2.

The reason behind the rejection of claims 10-12 should be apparent from Walker et al.'s distinction between audio and video data, and further view of video compression, e.g., MPEG. Claim 13 is met by the examiner's logic that has been discussed in the objection to the claim.

Regarding claim 26, Walker et al. discuss sending the encryption keystream and the video data asynchronously which results, at least temporally, in different distribution channels. This argument could also apply to claim 6, except that claim 3 more narrowly defines the channels as different mediums.

14. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. in view of Inoue as applied to claim 2 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. Control data for the mixing is also encrypted. They do not teach sending the control data to a receiver via a different medium. Official notice is taken that it is old and well-known to send control data separately from the actual information. This is especially established in pay television systems; a card will be sent to a client, who puts the card in a machine on the client's television. The data on the card allows the descrambling of broadcast programming. This method provides a level of security by separating the scrambled data from the key to that data. Walker et al. and Inoue are both concerned with data transmission, and therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to send control data by a different medium, such as a mailed card as is known in the art, the recipient in the combined system of Walker et al. and Inoue. This would increase security.

15. Claims 4, 5, 17, 21, 27, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. in view of Inoue as applied to claims 2, 3, 16, 20, 25, and 26 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. They do not teach non-continuous temporal transmission. Official notice is taken that transmission of data, particularly encrypted data, in a non-continuous fashion is old and well-known. By providing only part of a cryptogram, an attacker (probably) cannot decrypt any of the cryptogram. This is used in the interlock protocol, which, although concerned specifically with public keys, is applicable to symmetric cryptography. Therefore it would have been obvious to a person of ordinary

skill in the art at the time the invention was made to increase the security of Walker et al. in view of Inoue by transmitting the data discontinuously. Also, if the data is transmitted as packets, it would inherently be transmitted discontinuously.

16. Claims 7, 8, and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. in view of Inoue as applied to claims 1 and 28 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. There is no mention in either reference of the segments being a specific size or distributing the segments over many different distribution channels. Official notice is taken that digital broadcast over computer networks is old and well-known as a method for data transmission. Data is generally conveyed in packets which are generally the same size, meeting the limitations of claim 7. The networks use many different transmission paths to deliver data to a single source, meeting claim 29. Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to apply the teachings of Walker et al. and Inoue's joint transmission system to digital broadcast over networks.

With regard to claim 8, Walker et al. have already been cited as teaching inclusion of control data in segments.

17. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. and Inoue as applied to claim 1 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. They do not say that a non-predicted information segment is included in the segment. Official notice is taken that it is old and well-known to include



random information, such as an initialization vector, in data that is to be encoded.

Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include a non-predicted information frame within each segment of Walker et al. and Inoue, thereby providing an initialization vector for the stream.

18. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. in view of Inoue as applied to claim 1 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. Walker et al. also show the inclusion of control data. They do not say that the step of compressing produces control information indicative of a utilization level of a decoder buffer. This feature has been interpreted as being access rights for decompression. Official notice is taken that access rights are an old and well-known type of control data that are used to indicate parties that are allowed to access a product. They are especially common in pay-television systems. Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made for commonly known access rights to be included in the control data of Walker et al. and Inoue. The time of access rights generation is substantially inconsequential, but it would have been obvious to produce the rights at the same time as the operation which they control.

19. Claims 18 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. and Inoue as applied to claims 15 and 19 above.

Walker et al. in view of Inoue render obvious a system that compresses, mixes, and encrypts data. They do not specifically teach storing the unencrypted data in random access memory. Official notice is taken that it is old and well-known that random access storage allows a processor to directly access data. Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to use random access memory to store the data used in Walker et al. because the data is not accessed in the order in which it is meant to be viewed or heard.

### ***Conclusion***

20. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Brockman (5113443) and Katznelson et al. (4736420).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Douglas J Meislahn whose telephone number is (703) 305-1338. The examiner can normally be reached between 9AM - 6PM, except for every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tod Swann can be reached on (703) 308-7791. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-9051 for regular communications and (703) 308-9052 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

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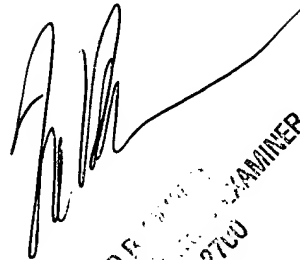
Art Unit: 2767

Douglas J Meislahn  
Examiner  
Art Unit 2767



DJM

June 11, 2000



TODD R. MEISLAHN  
SUPERVISOR, EXAMINER  
GROUP 2767